



Mashantucket Pequot
Tribal Nation

MASHANTUCKET EMPLOYMENT RIGHTS OFFICE

Initial Application for Business Agent License

For annual licensing under 32 M.P.T.L.,
the Mashantucket Pequot Labor Relations Law

The purpose of this application is to determine your eligibility for a Mashantucket Employment Rights Office (“MERO”) Business Agent license. The granting of this license is a prerequisite to you acting as a Business Agent of a Labor Organization within the territorial jurisdiction of the Mashantucket Pequot Tribal Nation.

An applicant seeking to be licensed as a business agent of a labor organization pursuant to 32 M.P.T.L. ch. 1, § 15, or who was granted a license that has been surrendered, suspended, revoked, or expired for more than one (1) year, must complete this Initial Application (Form-32-4200) and meet all the requirements of an initial applicant. Generally, an applicant seeking renewal of a MERO business agent license that is active or has been expired for less than one (1) year may use the MERO Application for Renewal of Business Agent License. (Form-32-4200R)

The Mashantucket Pequot Tribal Nation Gaming Commission (“MPTNGC”) Licensing Division will perform a thorough background investigation to determine your suitability for a MERO license. If you fail to provide ALL information requested, fail to reveal material facts, or furnish information which is untrue or misleading, you may be considered unsuitable for licensure and the MERO Business Agent License may be denied or revoked.

The circumstances under which a Business Agent License may be denied or revoked include the applicant or license-holder being convicted of, or charged with, a felony or a misdemeanor involving moral turpitude, providing incomplete or false information in the application process or having a questionable moral character.

An applicant is generally advised of the license application determination within forty-five (45) days of filing the application. A licensing determination may be provided to the individual applicant or the labor organization for which the individual seeks to serve as a business agent. Any inquiries about a pending application or an application determination should be addressed to the MERO.

Submit the completed application to:

Ursula L. Haerter, MERO Director
Pequot Museum
110 Pequot Trail, P.O. Box 3180
Mashantucket, CT 06338-3180

If you are hand-delivering the application, call (860-396-6508) or email (uhaerter@mptn-nsn.gov) first to confirm delivery arrangements.

Important Instructions:

- Entries must be typed or printed legibly in blue or black ink. If additional space is required to respond to any questions, one or more additional pages should be attached as necessary. Incomplete or illegible documentation may be returned without processing.
- The completed application must be printed single-sided and bear original (wet) signatures and original (wet) notarizations.
- Enclose with the completed initial application:
 - A statement bearing the original (wet) signatures of the president and secretary of the registered labor organization, which establishes the applicant’s authority to act as a business agent for the organization. In the absence of the president or the secretary, an alternative authorized representative of the labor organization may sign the statement; however, the statement must bear the signatures of two authorized representatives, one of whom is the president or secretary.
 - Non-refundable license fee of \$25, by check made payable to MPTNGC. Each application must be accompanied by a check for that application. Checks in amounts greater than a single application fee will not be accepted.
 - The documents check-marked below that correspond with your citizenship status.

Lists of Acceptable Documents for Licensure	U.S. Citizen	Naturalized Citizen	Non-Citizen	Student
Birth Certificate or Statement of Birth	✓	✓	✓	✓
Copy of Social Security Card (may be verified via Experian and/or the Save Program)	✓	✓	✓	✓
Current, unexpired document that establishes identity: Driver’s License, State Issued ID Card, School ID with Photo, Military ID or Passport	✓	✓	✓	✓
Unexpired Permanent Resident Card, Resident Alien Card**, Employment Authorization Card**, Visa, Passport from the Country with I-551 Stamp or I-94 Form			✓	
Unexpired J-1/DS or H2B Form with Visa and I-94 Form attached with Social Security Card or Receipt of Letter of Application Verification				✓
Naturalization Papers		✓		
Be prepared to disclose Alien Registration Number or I-9 Number	✓		✓	
** Resident Alien Card and Employment Authorization Card may be accompanied with a I-797 Notice of Action indicating that the Alien Card or Employment Card is extended for a specific period of time.				

- Submit the completed application to the MERO. Do not submit it to the Gaming Commission.



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MASHANTUCKET EMPLOYMENT RIGHTS OFFICE

Application for Business Agent License

For annual licensing under 32 M.P.T.L.,
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Applicant Name:		Existing License No., if applicable:
Applicant Address:		Applicant's Phone No.:
		E-mail Address:
Labor Organization for which applicant would be acting as a Business Agent:		
Address for Labor Organization:		
National or International Labor Organization with which affiliated:		
Please check address to be used for MERO mailings related to the application, including the Notice of Determination:		
<input type="checkbox"/> Applicant Address <input type="checkbox"/> Labor Organization Address		

Applicant's Understandings, Representations and Warranties:

I understand that there are certain conditions associated with my application for a business agent license, and, if licensed, my maintenance of a valid business agent license, and accordingly, I represent and warrant that each of the following are true and correct statements:

- I have not committed a felony or misdemeanor of moral turpitude, or otherwise engaged in any conduct that would be a basis for denial, suspension or revocation of a business agent license.
- I have not provided and will not provide incomplete or false information to the MERO or the Mashantucket Pequot Tribal Nation Gaming Commission (MPTNGC).
- During the term of any business agent license, I agree to notify the MERO if my circumstances change such that I am no longer serving as a business agent for the Labor Organization within the Territorial Jurisdiction of the Tribe and to surrender the license to the MERO.
- I understand that business agent licenses are not transferable between individuals or labor organizations and I will not transfer or attempt to transfer any license I am issued.
- I understand that a business agent license does not entitle me to access to any non-public facilities or areas within the Territorial Jurisdiction of the Tribe.
- I agree that any information on or associated with my application, including the reason for any adverse action on my application, may be provided by the MERO or MPTNGC to the Labor Organization.
- I indemnify and hold harmless the MERO, the MPTNGC and any of their employees or agents from any liability associated with the processing of my application.

_____ Applicant's Legal Signature	
Subscribed and sworn to before me on this _____ day of _____, 20_____.	
_____ Notary Public or Commissioner of the Court (CT Superior or Mashantucket Pequot Tribal)	_____ Printed Name of Notary or Commissioner
BELOW FOR OFFICIAL USE ONLY	
MPTNGC: <input type="checkbox"/> APPROVED <input type="checkbox"/> DENIED <input type="checkbox"/> RESTRICTED	MERO: <input type="checkbox"/> APPROVED <input type="checkbox"/> DENIED <input type="checkbox"/> RESTRICTED
Initial and Date: _____	Initial and Date: _____



Mashantucket Pequot Tribal Gaming Commission

Licensing Division

Background Investigation Application

1a. Applicant Last Name:		1b. Applicant First and Middle Names:		
1c. Applicant Maiden Name, if applicable:		2a. Date of Birth (MM/DD/YYYY):	2b. Place of Birth:	
2c. Height:	2d. Weight:	2e. Gender: <input type="checkbox"/> M <input type="checkbox"/> F	2f. Hair Color:	2g. Eye Color:
2h. U.S. Citizen: <input type="checkbox"/> Y <input type="checkbox"/> N	2i. Alien Registration or Naturalization No: A -	3a. Applicant's Phone No.:		
3b. E-Mail:			3e. Address: State	3f. Address: Zip Code
3c. Address: Street			3d. Address: Town/City	

4. a. Please provide the Name and Address of the Labor Organization for which you are seeking the business agent license, including any national or international affiliations.

b. Have you ever applied for a MERO business agent license previously?..... Y N

5. Provide a detailed explanation below for each YES response to question 5.

a. Have you ever been arrested, convicted, pled guilty, or no contest to any crime, felony, misdemeanor, or any other offense..... Y N

b. Have you ever received any type of deferred judgment, accelerated rehabilitation, alternative incarceration program, or pretrial intervention, as a result of a criminal charge against you?..... Y N

c. Are any charges currently pending against you for any crime, felony, misdemeanor, or any other offense, including motor vehicle crimes (other than minor traffic violations)?..... Y N

a,b,c	Nature of Arrest, Conviction, Parole, Probation, in Detail	Name/Address of Government Agency or Court where charges were filed	Dates of Conviction, Parole, Probation	Sentence /Judgment

6. Provide a detailed explanation below for each YES response to question 6.

- a. Do you have any overdue income taxes, fines, court ordered legal obligations or judgments?..... Y N
- b. Have you ever used an alias or name other than your current legal name or maiden name?..... Y N
- c. Have you ever used any other social security number?..... Y N
- d. Do you have any history of mental illness or repeated acts of violence?..... Y N
- e. Do you have an addiction to alcohol or a controlled substance?..... Y N
- f. Have you ever served in the U.S. Military? (If so, please provide a copy of your DD-214)..... Y N
- g. Have you ever been barred, ejected, or excluded from the premises of any gambling facility..... Y N

7. List all addresses where you lived during the last five (5) years. Begin with your current.

Dates (MM/YY) From	To	Address (No., Street, Apt., City, State & Zip Code)	Telephone Number (Include Area Code)

8. List each place in which you have been employed in the past five (5) years. Begin with your current.

Dates (MM/YY) From	To	Name, Address & Phone No. of Employer No. Street, City, State, Zip Code, Phone Number	Identify (1) Position held, (2) Name of Supervisor, and (3) Reason for Leaving

9. Please complete the following statement: I have attached a total of _____ additional pages providing further information in response to the following question(s) (insert question numbers):_____

Legal Signature of Applicant:	Date:
Interpreter's Name, if applicable:	Interpreter's Signature:

Subscribed and sworn to before me
on this _____ day of _____ 20_____ My commission expires: _____

Notary Public or Commissioner of the Court
(Connecticut Superior or Mashantucket Pequot Tribal Court)

Print name of Notary or Commissioner

STATEMENT OF APPLICANT

I understand that the purpose of a background investigation is to determine my suitability for a license to conduct business as a Business Agent of a Labor Organization within the territorial jurisdiction of Mashantucket Pequot Tribal Nation. I understand that the Mashantucket Pequot Tribal Gaming Commission Licensing Division, on behalf of the MERO, is authorized by TITLE 32 M.P.T.L. to conduct such background investigations as it deems necessary to verify my suitability for issuance and maintenance of a MERO license.

By the acceptance of any license issued to me pursuant to this application, I agree to abide by the laws of the Mashantucket Pequot Tribal Nation, and the regulations, standards, and procedures of the Mashantucket Employment Rights Office and the Mashantucket Pequot Tribal Nation Gaming Commission, and any applicable regulations, standards or procedures of the National Indian Gaming Commission.

I hereby certify that I have read and fully understand this application or I have had an interpreter read and explain this application. I affirm that every statement contained in this application is true, complete and correct. I understand that if I misstate or omit any fact, I am subject to the penalties provided by law and applicable regulations.

I voluntarily consent to the conduct of a full background investigation and authorize the MPTNGC to investigate any and all records concerning my background, including but not limited to any criminal convictions.

Legal Signature of Applicant:		Date:
Interpreter's Name, if applicable:	Interpreter's Signature:	



AUTHORIZATION FOR RELEASE OF INFORMATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and other such institutions, and all governmental Agencies-federal, state and local, without exception, both foreign and domestic.

I have authorized the Mashantucket Pequot Tribal Nation Gaming Commission (MPTNGC) to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the MPTNGC, provided that he or she certifies to you that I have an application pending before the MPTNGC, or that I am presently a licensee, registrant or person required to be licensed pursuant to the Mashantucket Pequot Labor Relations Law, Title 32 M.P.T.L. ch. 1, Section 15.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photo static copy of this authorization will be considered as effective and valid as the original.

Legal Signature of Individual Authorizing Release of Information:	Date:
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Notice to individual authorizing release of information: The following information is required by law enforcement agencies and other entities for identification purposes when checking records. It is confidential and will not be used for any other purpose. Print legibly or type information.

Full Name:	Gender: <input type="checkbox"/> M <input type="checkbox"/> F
Other Names Used:	Dates Other Names Used:
Current Address (Street, City, State, Zip Code):	
Date of Birth (MM/DD/YYYY):	Social Security Number:
Current Driver's License No:	Issuing State:
Other Driver's License No:	Issuing State:



**DISCLOSURE AND AUTHORIZATION REGARDING PROCUREMENT
OF CONSUMER REPORTS**

In connection with your application for a Mashantucket Employment Rights Office (“MERO”) Business Agent License required to act as a Business Agent of a Labor Organization within the territorial jurisdiction of Mashantucket Pequot Tribal Nation or consideration of renewal, revocation, suspension or modification of an issued MERO Business Agent license, the Mashantucket Pequot Tribal Nation Gaming Commission (MPTNGC) may obtain and use a consumer report, including an “investigative consumer report” about you from a “consumer reporting agency.” A “consumer reporting agency” is a person or business which, for monetary fees, dues, or on a cooperative nonprofit basis, regularly assembles or evaluates consumer credit information or other information on consumers for the purpose of furnishing “investigative consumer reports” to third parties. This report may include information as to your character, general reputation, personal characteristics, criminal history and mode of living. This information may be obtained from various sources, including your previous employers and/or references supplied by you or others.

In the event that information from a consumer report is utilized in whole or in part in making an adverse decision with regard to your application for, or maintenance of a license, including the decision not to issue you a license, before making the adverse decision, we will provide you with a copy of the investigative report and a description in writing of your rights under the Fair Credit Reporting Act¹ as to the consumer reporting agency.

Please be advised that you have the right to request, in writing, within a reasonable time, that MPTNGC make a complete and accurate disclosure of the nature and scope of the information requested. Such disclosure will be made to you within five (5) days of the date on which we receive the request from you or within five (5) days of the time the report was first requested.

This authorization shall remain in effect for the license application process, for any license renewals and at any time an active business agent license issued pursuant to Title 32, M.P.T.L. is maintained, unless a written withdrawal of the authorization signed by the applicant is submitted to MPTNGC.

By your signature below, you hereby authorize MPTNGC to obtain consumer reports, including investigative consumer reports about you consistent with the above disclosure.

Legal Signature of Applicant:	Date:
Print Full Name:	

¹ The Mashantucket Pequot Tribe provides this information and summary of rights under the FCRA as a courtesy; however, by providing this information, the Tribe does not intend to suggest that the FCRA is applicable to the Tribe as a sovereign and in no way provides a waiver of sovereign immunity from suit.