



Mashantucket Pequot  
Tribal Nation

## MASHANTUCKET EMPLOYMENT RIGHTS OFFICE

### Application for Renewal of Business Agent License

For annual licensing under 32 M.P.T.L.,  
the Mashantucket Pequot Labor Relations Law

The purpose of this application is to determine your eligibility for renewal of a Mashantucket Employment Rights Office (“MERO”) Business Agent license pursuant to Section 15 of Title 32 of the Mashantucket Pequot Tribal Laws. The granting of this license is a prerequisite to you acting as a Business Agent of a Labor Organization within the territorial jurisdiction of the Mashantucket Pequot Tribal Nation.

Generally, an applicant seeking renewal of a MERO business agent license that is active or has been expired for less than one (1) month may use this Renewal Application (Form-32-4200R). Other applicants must complete an Initial Application (Form-32-4200) and meet all the requirements of an initial applicant.

#### **Important:**

- To avoid a licensing lapse, license holders should submit renewal applications between November 1 and November 30. Renewal applications will not be accepted prior to November 1.
- Entries must be typed or printed legibly in blue or black ink. If additional space is required to respond to any questions, one or more additional pages should be attached as necessary. Incomplete or illegible documentation may be returned without processing.
- The completed application must be printed single-sided and bear original (wet) signatures and original (wet) notarizations.
- Enclose with the completed renewal application:
  - A photocopy of a valid document that bears your photo and establishes identity (e.g., Valid Driver’s License, State Issued ID Card, School ID, Military ID or Passport)
  - Non-refundable license fee of \$25, by check made payable to MPTNGC. Each application must be accompanied by a check for that application. Checks in amounts greater than a single application fee will not be accepted.
- Submit the completed application to the MERO. Do not submit it to the Gaming Commission. If the application is being hand-delivered, first call (860-396-6505) or email (MERO@mptn-nsn.gov) to confirm delivery arrangements.

The Mashantucket Pequot Tribal Nation Gaming Commission (“MPTNGC”) Licensing Division will perform a thorough background investigation to determine your suitability for a MERO license. If you fail to provide ALL information requested, fail to reveal material facts, or furnish information which is untrue or misleading, you may be considered unsuitable for licensure and the MERO Business Agent License may be denied or revoked.

The circumstances under which a Business Agent License may be denied or revoked include the applicant or license-holder being convicted of, or charged with, a felony or a misdemeanor involving moral turpitude, providing incomplete or false information in the application process or having a questionable moral character.

An applicant is generally advised of the license application determination within forty-five (45) days of filing the application. A licensing determination may be provided to the individual applicant or the labor organization for which the individual seeks to serve as a business agent. Any inquiries about a pending application or an application determination should be addressed to the MERO.



Mashantucket Pequot  
Tribal Nation

### MASHANTUCKET EMPLOYMENT RIGHTS OFFICE

## Application for Business Agent License

For annual licensing under 32 M.P.T.L.,  
the Mashantucket Pequot Labor Relations Law

<b>Applicant Name:</b>		<b>Existing License No., if applicable:</b>
<b>Applicant Address:</b>		<b>Applicant's Phone No.:</b>
		<b>E-mail Address:</b>
<b>Labor Organization for which applicant would be acting as a Business Agent:</b>		
<b>Address for Labor Organization:</b>		
<b>National or International Labor Organization with which affiliated:</b>		
<b>Please check address to be used for MERO mailings related to the application, including the Notice of Determination:</b>		
<input type="checkbox"/> Applicant Address <input type="checkbox"/> Labor Organization Address		

#### **Applicant's Understandings, Representations and Warranties:**

I understand that there are certain conditions associated with my application for a business agent license, and, if licensed, my maintenance of a valid business agent license, and accordingly, I represent and warrant that each of the following are true and correct statements:

- I have not committed a felony or misdemeanor of moral turpitude, or otherwise engaged in any conduct that would be a basis for denial, suspension or revocation of a business agent license.
- I have not provided and will not provide incomplete or false information to the MERO or the Mashantucket Pequot Tribal Nation Gaming Commission (MPTNGC).
- During the term of any business agent license, I agree to notify the MERO if my circumstances change such that I am no longer serving as a business agent for the Labor Organization within the Territorial Jurisdiction of the Tribe and to surrender the license to the MERO.
- I understand that business agent licenses are not transferable between individuals or labor organizations and I will not transfer or attempt to transfer any license I am issued.
- I understand that a business agent license does not entitle me to access any non-public facilities or areas within the Territorial Jurisdiction of the Tribe.
- I agree that any information on or associated with my application, including the reason for any adverse action on my application, may be provided by the MERO or MPTNGC to the Labor Organization.
- I indemnify and hold harmless the MERO, the MPTNGC and any of their employees or agents from any liability associated with the processing of my application.

_____ Applicant's Signature	
Subscribed and sworn to before me on this _____ day of _____, 20_____.	
_____ Notary Public or Commissioner of the Court (CT Superior or Mashantucket Pequot Tribal)	_____ Printed Name of Notary or Commissioner
<b><u>BELOW FOR OFFICIAL USE ONLY</u></b>	
<b>MPTNGC:</b> <input type="checkbox"/> APPROVED <input type="checkbox"/> DENIED <input type="checkbox"/> RESTRICTED	<b>MERO:</b> <input type="checkbox"/> APPROVED <input type="checkbox"/> DENIED <input type="checkbox"/> RESTRICTED
Initial and Date: _____	Initial and Date: _____



**Mashantucket Pequot Tribal Gaming Commission  
Licensing Division  
Background Investigation Application**

MERO License No.:				
1a. Applicant Last Name:			1b. Applicant First and Middle Names:	
1c. Name Change: Last		1d. Name change: First and Middle		1e. Name Change Date:
2a. Date of Birth (MM/DD/YYYY):		2b. Place of Birth:		
2c. Height:	2d. Weight:	2e. Gender:	2f. Hair Color:	2g. Eye Color:
2h. U.S. Citizen: <input type="checkbox"/> Y <input type="checkbox"/> N		2i. Alien Registration or Naturalization No: A -		
3a. Applicant's Phone No.:		3b. E-Mail Address:		
3c. Address: Street	3d. Address: Town/City		3e. Address: State	3f. Address: Zip Code

**4.** Please list the Name and Address of the Labor Organization for which you are seeking the business agent license, including any national or international affiliations.

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**5.** Since the date of your last MERO Business Agent License application, have you been suspended, barred, ejected or excluded from the premises of any gambling facility?  Y  N If yes, complete the following table:

Place & type of gambling activity	Date suspended, barred, ejected, excluded, etc.	Name & Address of Government Agency or Authority Taking Action	Reason suspended, barred, ejected, excluded, etc.

**6.** Since the date of your last MERO Business Agent License application, have you been convicted of any crime, felony, misdemeanor, or other offense, including motor vehicle crimes (other than minor traffic violation), in any state?  Y  N If yes, complete the following table:

Charge	Name & Address of Government Agency or Court involved	Date of disposition	Sentence (Jail, Suspended Sentence, Probation, Fine, etc.)

7. Are any charges currently pending against you for any crime, felony, misdemeanor, or other offense, including motor vehicle crimes (other than minor traffic violation), in any state?  Y  N If yes, complete the following table:

Charge	Date charged	Name & Address of Government Agency or Court involved

8. Please complete the following statement: I have attached a total of \_\_\_\_\_ additional pages providing further information in response to the following question(s) (insert question numbers): \_\_\_\_\_

**ACKNOWLEDGEMENT AND CONSENT**

I am aware that the purpose of a background investigation is to determine my suitability for a license to conduct business as a Business Agent of a Labor Organization within the territorial jurisdiction of Mashantucket Pequot Tribal Nation. I understand that the Mashantucket Pequot Tribal Nation Gaming Commission Licensing Division, on behalf of the MERO, is authorized by TITLE 32 M.P.T.L. to conduct such background investigations as it deems necessary to verify my suitability for issuance and maintenance of a MERO license.

By the acceptance of any license issued to me pursuant to this application, I agree to abide by the laws of the Mashantucket Pequot Tribal Nation, and the regulations, standards, and procedures of the Mashantucket Employment Rights Office and the Mashantucket Pequot Tribal Nation Gaming Commission, and any applicable regulations, standards or procedures of the National Indian Gaming Commission.

**STATEMENT OF TRUTH**

\_\_\_\_\_, being duly sworn according to law deposes and says:

(Print Applicant Name)

I am the applicant who is submitting this application for a MERO Business Agent license;

I have personally supplied the information contained in this renewal application and have reviewed all responses contained herein and such information is true and accurate to the best of my knowledge and belief;

I voluntarily consent to the conduct of a full background investigation;

I read and understand the English language or I have had an interpreter read, explain and record the answer to each and every question and statement on this application and release.

Legal Signature of Applicant:

Date:

Interpreter's Name, if applicable:

Interpreter's Signature:

Subscribed and sworn to before me

on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

My commission expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public or Commissioner of the Court  
(Connecticut Superior or Mashantucket Pequot Tribal Court)

\_\_\_\_\_  
Print Name of Notary or Commissioner



### **AUTHORIZATION FOR RELEASE OF INFORMATION**

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and other such institutions, and all governmental Agencies-federal, state, local and tribal, without exception, both foreign and domestic.

I have authorized the Mashantucket Pequot Tribal Nation Gaming Commission (MPTNGC) Licensing Division to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the MPTNGC Licensing Division, provided that he or she certifies to you that I have an application pending before the MPTNGC Licensing Division, or that I am presently a licensee, registrant or person required to be licensed pursuant to the Mashantucket Pequot Labor Relations Law, Title 32 M.P.T.L. ch. 1, Section 15.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photo static copy of this authorization will be considered as effective and valid as the original.

<b>Legal Signature of Individual Authorizing Release of Information:</b>	<b>Date (MM/DD/YYYY):</b>
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Notice to individual authorizing release of information: The following information is required by law enforcement agencies and other entities for identification purposes when checking records. It is confidential and will not be used for any other purpose.

<b>Full Name:</b>	<b>Gender:</b> <input type="checkbox"/> M <input type="checkbox"/> F
<b>Other Names Used:</b>	<b>Dates Other Names Used:</b>
<b>Current Address (Street, City, State, Zip Code):</b>	
<b>Date of Birth (MM/DD/YYYY):</b>	<b>Social Security Number:</b>
<b>Current Driver's License No:</b>	<b>Issuing State:</b>
<b>Other Driver's License No:</b>	<b>Issuing State:</b>